



COPY OF PAPERS
ORIGINALLY FILED

ATTORNEY DOCKET NO. 1636

TECH CENTER 1600/2900

PATENT
JUL 03 2002

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Asakawa and Hasegawa

Serial No.: 09/762,641

LA Filing

Date: August 10, 1999

Title: RNA VIRUS VECTOR WITH CONTACT INFILTRATION CAPABILITY

Art Unit: Unassigned

Examiner: Unassigned

Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application, please find:

- (1) An Information Disclosure Statement (2 pages);
- (2) A Form PTO-1449 (1 page);
- (3) One (1) Reference; and
- (4) Return postcard;

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, June 21, 2002 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

JASON BERRY

Name of Person Mailing Paper Jason Berry

Signature Jason Berry

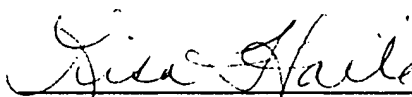
In the Application of
Asakawa and Hasegawa
Application Serial No.: 09/762,641
IA Filing Date: August 8, 1999
Page 2

PATENT
Attorney Docket No.: SHIM1100

This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 6/21/02



Lisa A. Haile, Ph.D.
Registration No. 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133

United States Patent & Trademark Customer No. 28213

BEST AVAILABLE COPY



COPY OF PAPERS
ORIGINALLY FILED

ATTORNEY DOCKET NO. SHIM 100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Asakawa and Hasegawa
Serial No.: 09/762,641
IA Filing August 10, 1999
Date:
Title: RNA VIRUS VECTOR WITH CONTACT INFILTRATION CAPABILITY

Art Unit: Unassigned
Examiner Unassigned

RECEIVED
JUL 03 2002
TECH CENTER 1600/2900

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of each is enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, June 21, 2002 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

JASON BERRY
Name of Person Mailing Paper Jason Berry
Jason Berry
Signature

In the Application of
Asakawa and Hasegawa
Application Serial No.: 09/762,641
LA Filing Date: August 10, 1999

PATENT
Attorney Docket No.: SHIM1100

This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 8/21/02

Lisa A. Haile

Lisa A. Haile, Ph.D.
Registration No. 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133

United States Patent & Trademark Customer No. 28213